

## RATHER LARGE SUBSETS OF PRIME AND SEMIPRIME RINGS WITH DERIVATIONS

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### Abstract

Let  $K$  be a commutative ring with unity,  $R$  a prime  $K$ -algebra of characteristic different from 2, with extended centroid  $C$ ,  $d$  and  $\delta$  non-zero derivations of  $R$ ,  $f(x_1, \dots, x_n)$  a polynomial over  $K$ . If  $\delta(d(f(r_1, \dots, r_n)) - f(r_1, \dots, r_n)) = 0$ , for all  $r_1, \dots, r_n \in R$ , then  $f(x_1, \dots, x_n)$  is central-valued on  $R$ . We also examine the case when  $R$  is a two-torsion free semiprime ring,  $n = 2$  and  $f(x_1, x_2) = [x_1, x_2]_k$ , the  $k$ -th commutator in two variables, for  $k$  a fixed positive integer.

Let  $K$  be a commutative ring with unity,  $R$  a prime  $K$ -algebra of characteristic different from 2, with center  $Z(R)$  and extended centroid  $C$ . Recall that an additive mapping  $d$  of  $R$  into itself is a derivation if  $d(xy) = d(x)y + xd(y)$  for all  $x, y \in R$ . This result is included in a line of investigation concerning the relationship between the structure of  $R$  and the behaviour of some derivation defined on  $R$ . In this context, by considering appropriate conditions on the subset  $P(d, S) = \{d(s) - s/s \in S\}$ , where  $S$  is a suitable subset of  $R$ , it is possible to formulate many results obtained in literature. For instance the result of Bell and Daif in [2] states that if  $S = \{[x_1, x_2]/x_1, x_2 \in I\}$ , for  $I$  a non-zero ideal of a semiprime ring  $R$ , then  $P(d, S) = 0$  implies that  $I$  is central in  $R$ . Later Hongan proved that the same conclusion holds if  $P(d, S) \subseteq Z(R)$  [9]. Recently we proved that in a prime ring  $R$ , if for any  $a \in P(d, S)$  there exists  $n = n(a) \geq 1$  such that  $a^n = 0$ , then  $R$  is commutative [6]. In an other recent paper we also considered the following situation: let

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$P(d, f(R)) = \{d(f(x_1, \dots, x_n)) - f(x_1, \dots, x_n)/x_1, \dots, x_n \in R\}$ , such that  $a^m = 0$ , for all  $a \in P(d, f(I))$  and  $m$  a fixed integer. Under this assumption, we showed that  $f(x_1, \dots, x_n)$  is an identity for  $R$  [7]. In this note we will assume that  $f(x_1, \dots, x_n)$  is not necessarily multilinear and there exists a non-zero derivation  $\delta$  of  $R$  such that  $\delta(a) = 0$ , for all  $a \in P(d, f(R))$ . We will prove that this condition forces  $f(x_1, \dots, x_n)$  to be central in  $R$ . It is well known that this conclusion says that the set  $P(d, f(R))$  is rather large in  $R$ .

In the first part we study the case  $\delta(P(d, f(R))) = 0$ , where both  $\delta$  and  $d$  are inner derivation: more precisely there exist  $a, b \in R$  such that  $\delta(x) = [a, x]$  and  $d(x) = [b, x]$ , for all  $x \in R$ .

Then we extend our result to arbitrary derivations.

Finally, in the last part of the paper we examine the case when  $R$  is a two-torsion free semiprime ring,  $k \geq 1$  is a fixed integer and the polynomial  $f$  is the  $k$ -th commutator  $[x_1, x_2]_k$ , which is defined as follows: for  $k = 1$ ,  $[x_1, x_2]_1 = [x_1, x_2] = x_1x_2 - x_2x_1$  and for  $k \geq 2$ ,  $[x_1, x_2]_k = [[x_1, x_2]_{k-1}, x_2]$ .

We begin with the following easy result:

**Lemma 1** *If  $f(x_1, \dots, x_n)$  is not central in  $R$  then there exists a non-zero ideal  $M$  of  $R$  such that  $\delta(d([x_1, x_2]) - [x_1, x_2]) = 0$  for all  $x_1 \in M, x_2 \in R$ .*

**Proof** Let  $G$  the additive subgroup generated by the set

$$f(R) = \{f(r_1, \dots, r_n)/r_1, \dots, r_n \in R\} \neq 0.$$

Of course  $\delta(d(g) - g) = 0$ , for all  $g \in G$ . Since  $f(x_1, \dots, x_n)$  is not central in  $R$ , by [5] and  $\text{char}(R) \neq 2$ , it follows that there exists a non-central Lie ideal  $L$  of  $R$  such that  $L \subseteq G$ . Moreover, by [8, pp. 4-5] there exists a non-zero ideal  $M$  of  $R$  such that  $[M, R] \subseteq L$ , and we are done.  $\square$

**Remark 1** In all that follows we will always assume that the polynomial  $f$  is not central in  $R$ . then there exists  $M$  an ideal of  $R$  such that  $\delta(d([x_1, x_2]) - [x_1, x_2])$  is a differential identity for  $M$ . Since  $R$  and  $M$  satisfy the same differential identities (see [11]),  $\delta(d([x_1, x_2]) - [x_1, x_2])$  is also a differential identity for  $R$ .

**Lemma 2** *Let  $a, b$  be elements of  $R$  such that  $[a, [b, [r_1, r_2]] - [r_1, r_2]] = 0$  for any  $r_1, r_2 \in R$ . Then  $a \in Z(R)$ .*

**Proof** Our assumption says that  $R$  satisfies the generalized polynomial identity

$$\begin{aligned} & [a, [b, [x_1, x_2]] - [x_1, x_2]] = \\ & [a, b[x_1, x_2] - [x_1, x_2]b - [x_1, x_2]] = \\ & ab[x_1, x_2] - a[x_1, x_2]b - a[x_1, x_2] - b[x_1, x_2]a + [x_1, x_2]ba + [x_1, x_2]a. \end{aligned}$$

The argument in [4] says that this generalized polynomial identity is also satisfied by  $Q$ , the Martindale quotients ring of  $R$ . It follows that  $S = RC$  is a primitive ring with  $\text{soc}(R) \neq 0$  and  $eHe$  is a simple central algebra finite dimensional over its center, for any minimal idempotent element  $e \in S$  (see [12]). We may assume  $H$  non commutative, otherwise also  $R$  must be commutative. Moreover  $H$  satisfies the same generalized polynomial identities of  $R$  and  $Q$ . Since  $H$  is a simple ring, one of the following holds: either  $H$  does not contain any non-trivial idempotent element or  $H$  is generated by its idempotents.

Suppose  $e^2 = e \in H$  and pick  $x_1 = (1 - e)h_1, x_2 = h_2e$ , for  $h_1, h_2 \in H$ . By our assumption

$$\begin{aligned} 0 &= [a, [b, [(1 - e)h_1, h_2e]]] - [(1 - e)h_1, h_2e] = \\ &ab(1 - e)h_1h_2e - a(1 - e)h_1h_2eb - a(1 - e)h_1h_2e - b(1 - e)h_1h_2ea \\ &\quad + (1 - e)h_1h_2eba + (1 - e)h_1h_2ea. \end{aligned}$$

Now, right multiplying by  $(1 - e)$  and left multiplying by  $e$ , we have

$$0 = -ea(1 - e)h_1h_2eb(1 - e) - eb(1 - e)h_1h_2ea(1 - e).$$

As a consequence of [12, theorem 2 (a)], it follows that  $ea(1 - e) = \alpha eb(1 - e)$ , for some  $\alpha \in C = Z(Q)$ . By the primeness of  $H$  and since  $\text{char}(R) \neq 2$ ,  $ea(1 - e) = eb(1 - e) = 0$ . In a similar fashion one has  $(1 - e)ae = 0$ . This implies that  $[a, e] = 0$  and since  $H$  is generated by its idempotents, we have  $a \in C$ .

On the other hand, if  $H$  does not contain any non-trivial idempotent element, then  $H$  is a finite dimensional division algebra over  $C$  and we may consider  $a, b \in H = RC = Q$ . If  $C$  is finite then  $H$  is a finite division ring, that is  $H$  is commutative, as well as  $R$ .

If  $C$  is infinite then  $H \otimes_C F \cong M_r(F)$ , the ring of  $r \times r$  matrices over  $F$ , where  $F$  is the central closure of  $C$ . In this case, a Vandermoonde determinant argument shows that in  $M_r(F)$   $[a, [b, [x_1, x_2]] - [x_1, x_2]] = 0$  is still an identity. As above, if  $r \geq 2$ , then  $M_r(F)$  contains some non-trivial idempotent elements, so  $a \in F$ . Of course, if  $r = 1$ , then  $H$  is commutative and we are done.  $\square$

Now the proof of the following theorem is a consequence of Lemmas 1 and 2:

**Theorem 1** *Let  $a, b$  be elements of  $R$  such that  $[a, [b, f(r_1, \dots, r_n)]] - f(r_1, \dots, r_n) = 0$  for any  $r_1, \dots, r_n \in R$ . Then either  $a \in Z(R)$  or  $f(x_1, \dots, x_n)$  is central-valued on  $R$ .*

**Theorem 2** *Let  $K$  be a commutative ring with unity,  $R$  a prime  $K$ -algebra of characteristic different from 2, with extended centroid  $C$ ,  $d$  and  $\delta$  non-zero derivations of  $R$ ,  $f(x_1, \dots, x_n)$  a polynomial over  $K$ . If  $\delta(d(f(r_1, \dots, r_n)) - f(r_1, \dots, r_n)) = 0$ , for all  $r_1, \dots, r_n \in R$ , then  $f(x_1, \dots, x_n)$  is central-valued on  $R$ .*

**Proof** Assume that  $f(x_1, \dots, x_n)$  is not central on  $R$ . By Lemma 1 and Remark it follows that  $\delta(d([x_1, x_2]) - [x_1, x_2])$  is a differential identity for  $R$ .

First suppose that  $\delta$  and  $d$  are  $C$ -independent modulo  $D_{\text{int}}$ .

By assumption, for all  $r_1, r_2 \in R$

$$\delta(d([r_1, r_2]) - [r_1, r_2]) = 0$$

that is  $R$  satisfies the differential identity

$$\begin{aligned} & \delta([d(x_1), x_2] + [x_1, d(x_2)] - [x_1, x_2]) = \\ & [\delta d(x_1), x_2] + [d(x_1), \delta(x_2)] + [\delta(x_1), d(x_2)] - [\delta(x_1), x_2] - [x_1, \delta(x_2)]. \end{aligned}$$

By Kharchenko's theorem [10]  $R$  satisfies the polynomial identity

$$[y_1, x_2] + [z_1, t_2] + [t_1, z_2] - [t_1, x_2] - [x_1, t_2]$$

in particular  $R$  satisfies any blended component  $[z_1, t_2]$  that is  $R$  is commutative, which contradicts the non-centrality of  $f(x_1, \dots, x_n)$ .

Let now  $\delta$  and  $d$   $C$ -dependent modulo  $D_{\text{int}}$ . There exist  $\gamma_1, \gamma_2 \in C$ , such that  $\gamma_1\delta + \gamma_2d \in D_{\text{int}}$ , and, by Theorem 1, it is clear that at most one of the two derivations can be inner.

Suppose  $\gamma_1 = 0$  and  $\gamma_2 \neq 0$ ; then, for some non-central element  $q \in Q$ ,  $d = d_q$  is the inner derivation induced by  $q$  and  $\delta$  is an outer derivation.

By the assumptions,  $\delta([q, [r_1, r_2]] - [r_1, r_2]) = 0$ , for all  $r_1, r_2 \in R$ , that is  $R$  satisfies the differential identity

$$\begin{aligned} & \delta([q, [x_1, x_2]] - [x_1, x_2]) = \\ & [\delta(q), [x_1, x_2]] + [q, [\delta(x_1), x_2]] + [q, [x_1, \delta(x_2)]] \\ & - [\delta(x_1), x_2] - [x_1, \delta(x_2)]. \end{aligned}$$

As above, by Kharchenko's result,  $R$  satisfies the generalized polynomial identity

$$\begin{aligned} & [\delta(q), [x_1, x_2]] + [q, [y_1, x_2]] + [q, [x_1, y_2]] \\ & - [y_1, x_2] - [x_1, y_2]. \end{aligned}$$

In particular  $R$  satisfies the blended component

$$[q, [y_1, x_2]] - [y_1, x_2]$$

and by [2] (see also [6]) it follows that  $R$  is commutative, a contradiction again.

Suppose now  $\gamma_2 = 0$  and  $\gamma_1 \neq 0$ ; then, for some non-central element  $q \in Q$ ,  $\delta = d_q$  is the inner derivation induced by  $q$  and  $d$  is an outer derivation.

In this case, for all  $a \in I$ ,  $r_1, r_2 \in R$ , we have:

$$[q, d([r_1, r_2]) - [r_1, r_2]] = 0$$

that is  $R$  satisfies the differential identity

$$[q, [d(x_1), x_2] + [x_1, d(x_2)] - [x_1, x_2]]$$

and, as above using the Kharchenko's theorem,  $R$  satisfies the following generalized polynomial identity

$$[q, [y_1, x_2] + [x_1, y_2] - [x_1, x_2]]$$

as well as the blended component

$$[q, [x_1, x_2]].$$

In this situation, since  $q \notin C$ , many results in literature state that  $R$  is commutative (see for example Lemma 2 in [3]), a contradiction.

Finally we may assume that both  $\gamma_1$  and  $\gamma_2$  are non-zero. So  $\delta = \gamma d + d_q$ , with  $0 \neq \gamma \in C$  and  $q \in Q$ .

Therefore, for all  $r_1, r_2 \in R$

$$(\gamma d + d_q)(d([r_1, r_2]) - [r_1, r_2]) = 0.$$

In this case  $R$  satisfies the differential identity

$$\begin{aligned} &= \gamma([d^2(x_1), x_2] + 2[d(x_1), d(x_2)] + [x_1, d^2(x_2)] - [d(x_1), x_2] - [x_1, d(x_2)]) + \\ &\quad [q, [d(x_1), x_2] + [x_1, d(x_2)] - [x_1, x_2]] \end{aligned}$$

and so the Kharchenko's theorem provides that

$$\begin{aligned} &= \gamma([z_1, x_2] + 2[y_1, y_2] + [x_1, z_2] - [y_1, x_2] - [x_1, y_2]) + \\ &\quad [q, [y_1, x_2] + [x_1, y_2] - [x_1, x_2]] \end{aligned}$$

is a polynomial identity on  $R$ .

Hence  $R$  satisfies the blended component  $2\gamma[y_1, y_2]$  and this implies that  $R$  is commutative, a contradiction.

Finally, if  $d$  is  $Q$ -inner, then  $\delta$  is also  $Q$ -inner and we end up by Theorem 1.

All the previous contradictions say that  $f(x_1, \dots, x_n)$  must be central in  $R$ .  $\square$

We conclude this note studying the case when  $R$  is a two-torsion free semiprime ring and the polynomial  $f$  is the  $k$ -th commutator  $[x_1, x_2]_k$ . First we fix the following result which depends by Theorem 2:

**Corollary 1** *Let  $R$  be a prime ring of characteristic different from 2,  $d$  and  $\delta$  non-zero derivations of  $R$ . If  $\delta(d([r_1, r_2]_k) - [r_1, r_2]_k) = 0$ , for all  $r_1, r_2 \in R$  and  $k \geq 1$  a fixed integer, then  $R$  is commutative.*

**Proof** It follows trivially by the fact that if  $[x_1, x_2]_k$  is central in  $R$ , then  $R$  is commutative.

**Remark 2** Notice that in Theorem 2 and Corollary 1, the assumption that  $d$  is a non-zero derivation can be removed. In fact, if  $d = 0$  the hypothesis  $\delta(f(x_1, \dots, x_n)) = 0$  drives us to the same conclusion, i.e.  $f(x_1, \dots, x_n)$  must be central in  $R$ .

Now we are ready to prove the semiprime-version of Corollary 1:

**Theorem 3** *Let  $R$  be a two-torsion free semiprime ring,  $d$  and  $\delta$  non-zero derivations of  $R$ . If  $\delta(d([r_1, r_2]_k) - [r_1, r_2]_k) = 0$ , for all  $r_1, r_2 \in R$  and  $k \geq 1$  a fixed integer, then  $[\delta(R), R] = (0)$ .*

**Proof** Let  $C$  the extended centroid of  $R$  and  $U$  the left Utumi quotient ring of  $R$ , then  $Z(U) = C$ . We need to mention that the definition, the axiomatic formulation and the properties of this quotient ring can be found in [1].

It is known that any derivation of  $R$  can be uniquely extended in  $U$  and moreover  $R$  and  $U$  satisfy the same differential identities (see [11]). Therefore  $\delta(d([r_1, r_2]_k) - [r_1, r_2]_k) = 0$ , for all  $r_1, r_2 \in U$ . Let  $M$  be any maximal ideal of the complete Boolean algebra of idempotents of  $C$ , denoted by  $B$ . We know that  $MU$  is a prime ideal of  $U$ . Let  $\bar{\delta}$  and  $\bar{d}$  the derivations respectively induced by  $\delta$  and  $d$  in  $\bar{U} = \frac{U}{MU}$ . Thus  $\bar{\delta}$  and  $\bar{d}$  satisfy in  $\bar{U}$  the same property of  $\delta$  and  $d$  on  $U$ . By Corollary 1 and Remark 2, for all  $M$  maximal ideal of  $B$ , either  $\delta(U) \subseteq MU$  or  $[U, U] \subseteq MU$ . In any case  $\delta(U)[U, U] \subseteq \cap_M MU = (0)$ . Without loss of generality we have  $\delta(R)[R, R] = 0$ . In particular

$$0 = \delta(R)[R^2, R] = \delta(R)R[R, R] + \delta(R)[R, R]R = \delta(R)R[R, R].$$

Therefore  $[R, \delta(R)]R[R, \delta(R)] = 0$  and, by semiprimeness of  $R$ ,  $[R, \delta(R)] = 0$ , that is  $\delta(R) \subseteq Z(R)$ .  $\square$

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